

## REMARKS

Applicants respectfully request reconsideration of this application. Claims 1, 2, 4, 5, 7-14, 17, 19-32, and 70-83 remain in this application. Claims 1, 4, 13, 14, 17, 28, 70, 73, and 76 have been amended. Claims 33, 34, 36-49, 51-69, 84-88 have been canceled. No claims have been added.

### Rejections under 35 U.S.C. § 103(a)

Claims 1, 2, 4, 7, 11-12, 14, 17, 20, 24-27, 70, 73-74, and 76-79 stand rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho et al. ("A Novel Distributed Control Protocol in Dynamic Wavelength-Routed Optical Networks", IEEE Communications Magazine, November 2002), Smith et al., U.S. Patent No. 7,171,124, and Roorda, U.S. Patent Publication No. 2002/0186432. Applicant does not admit that Smith is prior art and reserves the right to swear behind these references at a later date. Nonetheless, Applicant respectfully submits that Ho and Smith does not disclose each and every element of the invention as claimed in claims 1, 2, 4, 7, 11-12, 14, 17, 20, 24-27, 66-67, 70, 73-74, and 76-79.

Ho discloses dynamically allocating an optical path from a source node to a destination node (Ho, Abstract, p.38). The source node is provided with a routing table that defines all possible paths to the different destinations nodes (Ho, p.39, 2<sup>nd</sup> column). The paths of the routing table are known to the source node because the paths are defined offline (Ho, p. 39, 2<sup>nd</sup> column). Ho's path allocation scheme dynamically updates the link status of multiple paths from the source node to the one destination node (Ho, p. 39, 2<sup>nd</sup> column). The link status of each link of a node on the paths is determined by either periodically sending probe messages along the paths or sending the probes messages in response to a path allocation request (Ho, p. 39, 2<sup>nd</sup> column). Assigning the proper path involves selecting the path and selecting the proper wavelength along the path (Ho, p. 38, 1<sup>st</sup> column). Because all of the routing between the source and destination nodes is predefined in the routing table, path selection just involves wavelength selection (Ho, p. 39, 2<sup>nd</sup> column). Wavelength selection selects the best lightpath between the source and

destination nodes (Ho, p. 39, 2<sup>nd</sup> column). This is done by determining critical links (i.e. paths with high traffic), and broadcasting to other nodes to avoid the critical links during path selection (Ho, p. 40, 2<sup>nd</sup> column). Nevertheless, Ho does not disclose the organization of the routing database, other than that the database contains paths between source and destination nodes.

Smith discloses a system that routes and switches information on a dense wavelength division multiplexing network (DWDM) path between a source and destination node (Smith, Abstract). The system routes and switches information through multiple nodes in the network (Smith, Fig. 1, Col. 4, lines 13-22). Regenerating nodes ("regenerators") provide optical-electric-optical (OEO) wavelength conversion and/or regeneration in the network core (Smith, Col. 4, lines 34-36). The system further includes a network topology database to store topology data of the network (Smith, Col. 6, lines 65-66). In response to receiving a path request message, requesting a path from one source node to one destination node, the system finds a number of paths available between these specific source and destination nodes (Smith, Col. 6, lines 12-16). The system builds a search tree of these source-destination paths from the topology data stored in the database (Smith, Col. 6, lines 65-66). The search tree is organized by regenerator levels from the source node to other nodes along the paths to the destination node (Smith, Figure 5A, Col. 19, line 58 – Col. 10, line 11). Using this search tree, the system further selects viable paths in the search tree groups the paths by the number of regenerators on each path and stores these selected paths (Smith, Col. 6, lines 26-33). In particular, regenerator placement module groups the paths into 'm' sets by the number of 'k' regenerators that are on the path (Smith, Fig. 4A, step 63, Fig. 5B, Col. 10, lines 53-67). In the example illustrated by Fig. 5B, there is one set with one path having one regenerator ( $k=1$ ), a second set of three paths with two regenerators ( $k=2$ ), and a third set of one path having one regenerator ( $k=3$ ) (Smith, Fig. 5B, Col. 10, lines 54-59). In addition, Smith discloses computing the costs of paths (Smith, Fig. 6B, Col. 13, lines 49-54). If a path is selected, the routing module "destroys the stored paths," as these paths are no longer needed (Smith, Figure 3B, step 20, Col. 8, lines 57-60). However, Smith does not disclose the organizational structure of the network topology database nor does Smith suggest using the paths stored in memory as a replacement for the topology

database. Thus, Smith discloses three different structures that could be interpreted as a network database: (1) a network topology database that Smith fails to disclose the information stored in or the organizational structure of the network topology database; (2) the search tree of paths from the source node to different destination nodes; and (3) paths stored in memory from one source node to one destination node, wherein these paths are stored in response to receiving a path request message.

Roorda discloses a photonic network that provides separation of passthrough channels that form drop channels at the input of a switching node (Roorda, Abstract). A node of the network has the ability to switch an input fiber to an output fiber as well as adding/dropping traffic with the photonic network (Roorda, Figure 3A, paragraph 52). In addition, Roorda discloses discovering topology information by each node recognizing its own connections to neighboring nodes (Roorda, paragraph 106). Roorda does not disclose how its topology information is stored or updated in a database.

Applicant respectfully submits that the combination of Ho, Smith, and Roorda do not teach or suggest Applicant's claims. (1) Applicant respectfully submits that the combination does not teach or suggest creating and maintaining a network topology database responsive to receipt of messages that include end to end path identification information, wherein an end to end path comprises a series of three or more nodes. (2) The combination does not teach or suggest a network topology database that that is not specific to or created responsive to path request messages. (3) The combination does not teach or suggest organizing databases such that paths are grouped by reachable destination node with at least two different groups of available paths to two different reachable destination nodes.

#### Creating and Maintaining

Applicant respectfully submits that none of Ho, Smith, and/or Roorda teach or suggest creating and maintaining a network topology database responsive to receipt of messages that include end to end path identification, wherein an end to end path comprises a series of three or more nodes. Ho discloses receiving link status messages that merely identify the status of a link between two nodes. Because Ho's link status only comprises a link between two nodes and not an end to end path that comprises a series or

three or more nodes, Ho cannot teach or suggest maintaining a network topology database by receipt of response messages that include end to end path identification, wherein an end to end path comprises a series of three or more nodes.

Smith does not disclose updating the set of paths stored in memory that is created in response to a path request. Quite the opposite of maintaining, Smith discloses that this set of paths is destroyed as soon as the path request is fulfilled. Furthermore, Smith discloses that a signal and control system that updates Smith network topology database. However, Smith does not disclose how that database is updated by the signal and control system. In addition, Smith fails to disclose maintaining the search tree. Therefore, Smith does not teach or suggest maintaining a network topology database by receipt of response messages that include end to end path identification, wherein an end to end path comprises a series of three or more nodes.

Furthermore, because Roorda does not disclose how to update the topology information stored in a database, Roorda does not teach or suggest maintaining a network topology database by receipt of response messages that include end to end path identification, wherein an end to end path comprises a series of three or more nodes.

For example, claim 1, as amended, requires “wherein the end to end paths include paths that are a series of three or more of said optical network devices connected by links on which a set of wavelengths is available for establishing a lightpath ...said optical network devices acting as access nodes each creates and maintains, responsive to receipt of the messages that include the end to end path identification information, a network topology database, which represents the network topology for that access node, through sustained storage of the possible end to end paths, with costs, from that access node to all other reachable destination nodes.”

Furthermore, claim 14, as amended, requires “a database module that creates and maintains said database responsive to receipt of messages that include the end to end path identification, wherein said database is not specific to or created responsive to path request messages.”

In addition, claim 70, as amended, requires “creating and maintaining said database responsive to receipt of messages that include end to end path information, and

wherein said databases are not specific to or created responsive to path request messages.”

Claim 76, as amended, requires “responsive to receiving the response connectivity messages, storing in a database the collected end to end paths, the collected end to end paths organized in the database such that all available paths to each of the destination nodes are grouped together under that destination node, and the database stores at least two groups of the available paths to at least two different destinations, wherein said database is not specific to or created responsive to path request messages.”

The above quoted limitations are not described or suggested by Ho and/or Smith. While there are various uses for the invention as claimed, several such uses are discussed at Figures 5 and 18 and paragraphs 68, 69, 88-91, and 174. Thus, while the invention is not limited to the uses discussed on these pages, it should be understood that Ho and Smith do not enable these uses and the above quoted limitations do.

*Database not Specific to or Created Responsive to Path Request Messages*

Applicant respectively submits that the combination of Ho, Smith, and Roorda do not teach or suggest a network topology database that that is not specific to or created responsive to path request messages. Although, Applicant believes this limitation is unnecessary, Applicant has added this limitation to further convey Applicant’s invention. Furthermore, Applicant believes this limitation is definite as support for this claim limitation is found in Figures 15-19 and paragraphs 157-183, in which Applicant’s network topology databases are created using the distributed search technique, and in Figure 20 and paragraphs 185-188, which describes the system allocating a path in response to a “path/wavelength combination for a particular service level to be allocated is received.”

The Examiner admitted that Ho did not each and every claim limitation of Applicant’s network topology database and relied on Smith and/or Roorda as disclosing these missing limitations. In particular, the Examiner relies on the stored set of paths of Smith that are specific to and created in response to a path request message for his combination to reject Applicant’s claims (Office Action, p. 3). Smith’s stored set of paths are created in response to and are specific to a particular path request message because

the stored set of paths all have the one source and one destination node as specified in the path request message (Smith, col. 5B, col. 10, lines 53-67). Because the Examiner incorporates this feature of Smith into the combination, this means that the combination of Ho, Smith, and Roorda relies on a database that is specific to and/or created as, in part, a response to a path request message.

In contrast, Applicant's claims are directed to a network topology database that is not created and maintained in response to path request messages. For example, claim 1, as amended, requires: "said optical network devices acting as access nodes each creates and maintains, ..., a network topology database, which represents the network topology for that access node, ... wherein said databases are not specific to or created responsive to path request messages."

In addition, claim 14, as amended, requires: "a database module that creates and maintains said database responsive to receipt of messages that include the end to end path identification, wherein said database is not specific to or created responsive to path request messages."

Claim 70, as amended, requires: "creating and maintaining said database responsive to receipt of messages that include end to end path information, and wherein said databases are not specific to or created responsive to path request messages."

Claim 76, as amended, requires: "responsive to receiving the response connectivity messages, storing in a database the collected end to end paths, the collected end to end paths organized in the database such that all available paths to each of the destination nodes are grouped together under that destination node, and the database stores at least two groups of the available paths to at least two different destinations, wherein said database is not specific to or created responsive to path request messages."

The above quoted limitations are not described or suggested by Ho and/or Smith. While there are various uses for the invention as claimed, several such uses are discussed at Figures 15-20 and paragraphs 157-188. Thus, while the invention is not limited to the uses discussed on these pages, it should be understood that Ho and Smith do not enable these uses and the above quoted limitations do.

### Grouping Paths Based on Common Destination Nodes

The Examiner admits that Ho does not teach or suggest a “grouping paths based on common destination nodes” and relies on Smith to supply the missing element (Office Action, p. 3). In support of his rejection, the Examiner states that Smith “teaches in FIG. 5B and 6B grouping paths with common destination nodes for evaluating and selecting the best path for routing” (emphasis added, *Id.*). However, Applicant respectfully submits that this set of paths disclosed in Figs. 5B and 6B are for a single destination node and Smith does not teach or suggest a grouping based common destination nodes.

The Examiner further admits that Smith fails to disclose grouping of paths to common destination nodes, but states that it is obvious “that the same method can be applied a plurality of times to find multiple paths from one source node to a plurality of destinations” (*Id.*). However, this is not what Applicant claims in claim 1, 14, 70, and 76. These claims are directed towards network topology databases that are organized such that possible paths to each of to reachable destinations are grouped together under that reachable destination node with at least two different groups of available paths to two different reachable destination nodes. Because the Examiner misstated what Applicant is claiming, independent claims 1, 14, 70, and 76 are not rendered obvious by the combination.

Moreover, it would not be obvious to one of skill in the art to modify Ho, Smith, and/or Roorda to have possible end to end paths to reachable destinations grouped together under that reachable destination node with at least two different groups of available paths to two different reachable destination nodes. In order to support an obvious rejection, the Examiner must show that the difference between the prior art cited and the claimed invention would have been obvious to one of skill in the art (Fed. Reg. Vol. 72, No. 195, p. 57528). The Examiner asserts that it would obvious to apply the method of Ho, Smith, and Roorda “a plurality of times to find multiple paths from one source node to a plurality of destinations” (Office Action, p. 3). As above, the Examiner apparently equates Smith’s single group of source-destinations paths to Applicant’s database having possible end to end paths to reachable destinations grouped together under that reachable destination node with at least two different groups of available paths to two different reachable destination nodes. Applying Smith’s path selection method a

plurality of times at one access node would result in a plurality of transient independent source-destination sets of paths stored in memory. Each set of paths is created solely in response to separate requests for a path. The sets of paths are then destroyed after that request is fulfilled. However, these sets of transient, independent sets of paths stored in memory would not be considered a database to one of ordinary skill in the art. A database is "a collection of organized, related data" (Random House Webster's' College Dictionary, 2<sup>nd</sup> Ed., 1997). While each individual set of paths maybe organized within that given set, multiple instances of these sets of paths are not organized relative to each other. Each set of paths is independent and disconnected from the other sets of paths. These sets of paths are only created in response to a request for one source-destination path. Thus, Applicant respectfully submits that applying Smith path selection method a plurality of time does not teach or suggest Applicant's database having possible end to end paths to reachable destinations grouped together under that reachable destination node with at least two different groups of available paths to two different reachable destination nodes

Furthermore, it would not be obvious to one of skill in the art to modify Smith to organizing multiple paths to multiple destinations with the multiple paths grouped by common destination, because Smith actually teaches away from this limitation. This is because Smith actually discloses destroying the set of paths to one destination stored for path allocation once one of those paths has been allocated (Smith, Figure 3B, step 20, Col. 8, lines 57-60). Thus, as Smith actually teaches away organizing multiple paths to multiple destinations, with the multiple paths grouped by common destination, it would not be obvious to one of skill in the art to modify Smith.

In addition, organizing a topology database with possible end to end paths to reachable destination under that destination would not have been obvious modification to one of skill in the art. As above, the Examiner must show that the difference would have been obvious to one of skill in the art. One example of one of skill in the art can be found in the well-known Open Shortest Path First (OSPF) protocol. In OSPF, the topology database is organized as a link state database for every node known in the network (See, e.g., Moy, "OSPF Version 2", RFC-2328, pp. 18-21; and Applicant's specification, paragraph 16). With this database, a node generates a shortest path first (SPF) tree



representing paths from the source node to other destinations (Moy, p. 21-23). When used for optical networking, this SPF tree is at the individual link and lambda levels because there are multiple lambdas per link and different lambdas may provide different characteristics (Applicant's Specification, paragraph 16). Using this SPF tree, the node selects a path to route (Moy, p. 21). It should be noted that since OSPF is a protocol typically used in optically networking to create topology databases, Moy would be considered one of skill in the art. Furthermore, even though it was known at the time of Moy that a database may be organized in a different fashion, Moy (and other who use OSPF) chose to organize the topology database as a link/lambda state database and/or a link/lambda SPF tree, instead of a topology database with paths grouped together under each destination. Thus, because Moy organized the OSPF database(s) differently than Applicant's topology database and Moy is one of skill in the art, it would not have been obvious to one of skill in the art to try and organize the topology database with paths grouped together under each destination.

Furthermore, organizing a topology database with paths group to a destination leads to results that would not have predicted. A demonstration of a modification that leads to predictable result can be basis for obviousness (Fed. Reg., Vol. 72, No. 195, 57529, Rationales (A)-(D)). However, a topology database organized by paths grouped to a destination allows a more efficient search for paths than a database and/or SPF tree as used with OSPF. OSPF uses  $O(N^2)$  algorithms to search for path, whereas a path grouped topology can be search for a path using  $O(\log N)$  algorithms, with  $N$  being smaller in the latter case for the same size network (Applicant's Specification, paragraphs 128-129). For example, the number of operations to search an SPF tree representing a network of 10 nodes, eight fibers/node, and 40 wavelengths/fiber is approximately  $3210^2$  (*Id.*). In contrast, searching the same network using the path grouped topology database requires approximately  $\log(640)$  operations, which is much more efficient than for OSPF (*Id.*). Thus, this topology database organization is much more efficient than others known in the art. Therefore, a topology database with paths group to a destination leads to results that would not have predicted.

Thus, none of Ho, Smith, or Roorda teach or suggest possible end to end paths to reachable destinations that are grouped together under that reachable destination node

and that there are at least two different groups of available paths to two different reachable destination nodes. Nor is it obvious to one of skill in the art to modify Ho and/or Smith to have such a topology database.

For example, claim 1, as amended, requires “optical network devices acting as access nodes each creates and maintains, responsive to receipt of the messages that include the end to end path identification information, a network topology database, which represents the network topology for that access node, through sustained storage of the possible end to end paths, with costs, from that access node to all other reachable destination nodes, each of said paths in said databases having associated with it the wavelengths available on that path, wherein the possible end to end paths in each of said databases are organized such that all available paths to each of the reachable destination nodes are grouped together under that reachable destination node, wherein at least one of said databases stores at least two different groups of available paths to at least two different reachable destination nodes.”

Claim 14, as amended, requires “a destinations structure to store each of said destinations in a single entry, each of said destination’s single entry to reference paths to that destination, wherein each of said paths is represented in said database by a cost and a series of three or more nodes and interconnecting links over which that path travels, and wherein said database stores at least two different groups of paths to at least two different reachable access nodes...”

Claim 70, as amended, requires “...locating a reachable destination node in a structure of a database, wherein said structure stores a non-duplicative set of the plurality of destination nodes in the optical network, wherein each of said plurality of reachable destination nodes in the structure references a group of available paths to that destination node, an available path comprising a sequence of three or more nodes and interconnecting links of those of the available paths that lead to that destination node and the all of the available paths in each group sorted at least in part by cost, each such available path having associated to it the set of one or more available wavelengths along that path to here; and wherein said database stores at least two groups of the available paths to at least two different reachable destinations...”

Claim 76 requires "...responsive to receiving the response connectivity messages, storing in a database the collected end to end paths, the collected end to end paths organized in the database such that all available paths to each of the destination nodes are grouped together under that destination node, and the database stores at least two groups of the available paths to at least two different destinations, wherein said database is not specific to or created responsive to path request messages..."

The above quoted limitations are not described or suggested by Ho and/or Smith. While there are various uses for the invention as claimed, several such uses are discussed at Figures 3-4 and paragraphs 85-90. Thus, while the invention is not limited to the uses discussed on these pages, it should be understood that Ho and Smith do not enable these uses and the above quoted limitations do.

With regards to Applicant's claim 14, Applicant respectfully submits that the combination does not teach or suggest a separately stored "destinations structure to store each of said destinations in a single entry" and that "each of said destination's single entry to reference paths to that destination." The Examiner does not specifically state where these limitations are disclosed in Ho, Smith, and/or Roorda. Furthermore, none of Ho, Smith, and/or Roorda teach or suggest specifically detail a destination structure as claimed in claim 14. For example, because Ho and Roorda fail to disclose the structure of Ho's routing table and Roorda's topology database, respectively, neither Ho nor Roorda teach or suggest this limitation. As per above, because (1) Smith's the structure of Smith's topology database is not disclosed, (2) Smith's search is not organized by common destination, and (3) Smith's stored set of paths are for only one destination, Smith cannot teach or suggest the claimed limitation. Furthermore, because this type of database structure enables a more efficient search for paths (see above), using a destinations structure as claimed is not an obvious modification to the combination. Thus, the combination does not render claims 14 and claims 17 and 19-32 that depend on it.

For at least these reasons, Applicant respectfully submits that the claims discussed above are allowable. The Applicant respectfully submits that the additional dependant claims are allowable for at least the reason that they are dependent on an allowable independent claim.

Claims 8-10, 21-23, 71-72, 80-86, and 88 stand rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Smith, and Ho et al., (“A Framework for Service-Guaranteed Shared Protection in WDM Mesh Networks”, IEEE Communications Magazine, February 2002) (“Ho2”). Claims 5 and 18-19 stand rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Smith, and Deo et al., “Graph Theory with Applications to Engineering and Computer Science”). Claim 13 stands rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Smith, and Jukan et al. (“Constraint-Based Path Selection Methods for On-Demand Provisioning in WDM Networks”, IEEE INFOCOM, 2002). Claims 30, and 32 stand rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Smith, and Moy (“OSPF Version 2”, RFC 2328, IETF, April 1998). Claim 31 stand rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Smith, and Pulkkinen et al., U.S. Patent Publication No. 2003/0172356. Claim 15 stands rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Deo, Smith, and Date (“An Introduction to Database Systems” by C.J. Date, Addison-Wesley 1986). Claim 75 stands rejected under 35 U.S.C. § 103(a) as being obvious in view of Ho, Ho2, Smith, and Shami (“Performance Evaluation of Two GMPLS-Based Distributed Control and Management Protocols for Dynamic Lightpath Provisioning in Future IP Networks”, IEEE, 2002).

All of the above claims depend from one of the above identified independent claims. It is respectfully submitted that the above identified cited references, individually or in combination, fail to disclose or suggest the limitations set forth the above independent claims.

#### SUMMARY

Applicant respectfully submits that the rejections have been overcome by the amendments and remarks, and that the Claims as amended are now in condition for allowance. Accordingly, Applicant respectfully requests the rejections be withdrawn and the Claims as amended be allowed.

*Invitation for a telephone interview*

The Examiner is invited to call the undersigned at 408-720-8300 if there remains any issue with allowance of this case.


*Charge our Deposit Account*

Please charge any shortage to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: November 13, 2008

  
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